

# Auditing for Results

## Proposed 2011 Work Program

*King County Auditor's Office*

Cheryle A. Broom, King County Auditor

Ron Perry, Deputy County Auditor

*Government Accountability and Oversight Committee*

*March 1, 2011*



# Work Program Based on Mandates & Mission

- Council mandates and County Charter
- Strategic mission: improve and inform
- Core audits: per audit standards
- Complementary lines of business
  - Countywide Performance Management
  - Countywide Community Forums
  - Capital Projects Oversight



# Work Program Development

- Incorporate mandates and input
- Develop preliminary scopes
- Determine resource needs and feasibility
- Communicate to stakeholders
- Council adopts by motion



# Performance Audits

## Audit of King County Sheriff's Office

- Review services, workload, and staffing for opportunities for efficiencies

## Audit of Custodial Services, Facilities Management Division

- Review of staffing, scheduling, and supervision practices including customer satisfaction

## Capital Planning Performance Audit of Solid Waste Transfer Stations

- Examine financial plans, rate models, and cost estimates for four capital construction projects

# Performance Audits (cont.)

## Emergency Medical Services Levy Financial Audit

- Financial review of 2010 expenditures and examination of dispatch services rate models

## IT Projects Survey

- Survey a sample of large IT capital projects and their performance to scope, schedule, and budget

## Audit of Risk Management Office

- Review loss control and asset protection activities

## Review of Productivity Initiative, Wastewater Treatment Division

- Assess the effectiveness of the productivity initiative

# Performance Audits (cont.)

## Sheriff's Office Internal Investigations Unit and Office of Law Enforcement Oversight

- Evaluate effectiveness of Internal Investigations Unit and startup of new oversight office

## 2010 Jail Audit Follow-up and Monitoring Budget Proviso Implementation

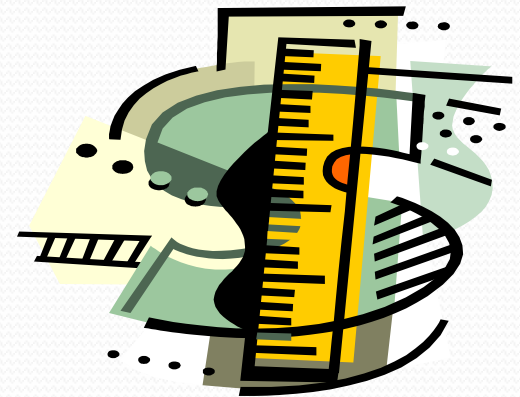
- Evaluate implementation of 2011 budget provisos relating to jail planning and operations audit findings

## Transit Pre-Audit Planning for 2012

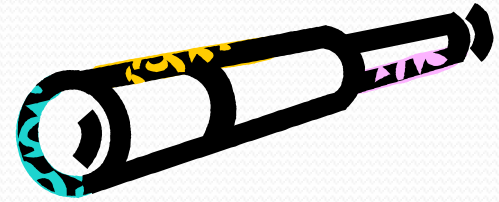
- Develop scope and objectives for an audit to be conducted in 2012

# Follow-up on Audits

- Facilities Management Division Capital Planning Audit
- Emergency Medical Services 2009 Audit
- Sheriff's Office Internal Investigations Unit
- Audit of Workers Compensation Program (Transit only)
- Transit 2009 & 2010 Audits
- Animal Control 2009 Audits



# Oversight Projects



- Countywide Performance Management Work Group
  - Advises on implementing vision of a countywide strategic planning, performance management, public engagement, and public reporting system
  - Upcoming report to GAO on 2010 activities and 2011 work plan



# Countywide Community Forums

Continue overseeing volunteer-driven forum program

- Topic selection
- Video and materials
- Forums throughout King County
- Opinionnaire<sup>®</sup> survey
- Report to council and public



# Countywide Community Forums (cont.)

## Two rounds of forums in 2011

### #1. Round 7: April/May 2011

- Topic – Equity and Economic Growth across King County

### #2. Round 8: Sept/Oct 2011

- Topic – Budget-related



# State Auditor's Office Audits of King County

- Monitor any State Auditor local government performance audits and reporting related to King County
- Review State Auditor's annual financial audits and accountability review of King County

# Capital Projects Oversight

- Continue with direct project oversight on Brightwater and Accountable Business Transformation programs
- South Regional Maintenance Facility (Roads Services Division)
- Facilities Management Division (FMD) Project Delivery Management Study
- Criteria for Capital Project Prioritization
- Data Center Relocation Wrap-up

# Capital Projects Oversight (cont.)

## Countywide programmatic work

- Promote successful project delivery
- Improve policies and project management practices and countywide systems for capital project delivery
- Evaluation of CPO program results
- Support annual risk scoring process for projects over \$10 million



# Conclusion

- Proposed Auditor's Office Work Program
  - Reflects council and strategic priorities
  - Value-added opportunities for county government
- Present 2010 Accomplishments Report next month
- Acknowledgements
  - Council support; stakeholder input; auditor's office team